

8-13-01

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TO:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTY. DKT. NO.  
T741-10

J1036 U.S. PTO  
09/928024

08/10/01

## PATENT APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

INVENTOR(S): Charles A. Eldering; John A. Schlack and Herbert M. Lustig

TITLE: TARGETING ADS TO SUBSCRIBERS BASED ON PRIVACY-PROTECTED SUBSCRIBER PROFILES

Enclosed are the following papers required for a filing date under 35 CFR §1.53(b):

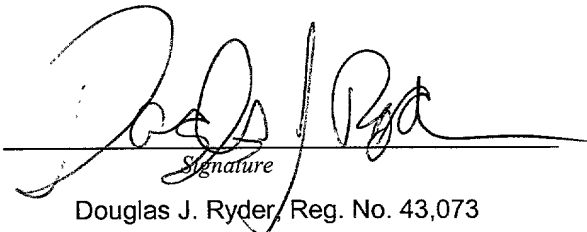
- ☒ Specification 43 pages
- ☒ Claims 78 pages
- ☒ Abstract 1 pages
- ☒ Drawings 52 sheets ☒ formal ☐ informal

The following additional papers are enclosed:

- ☒ Fee Transmittal
- ☒ Declaration and Power of Attorney
- ☒ Applicant Claims Small Entity Status. See 37 CFR 1.27
- ☐ Information Disclosure Statement ☐ Form PTO-1449
- ☒ Assignment and Cover Sheet
- ☐ Other:

Dated: 8/10/01

Expanse Networks, Inc.  
300 North Broad Street  
Doylestown, PA 18901  
(215) 340-6900

  
Signature  
Douglas J. Ryder, Reg. No. 43,073

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**FEE TRANSMITTAL**  
**for FY 2001**

Patent fees are subject to annual revision

**TOTAL AMOUNT OF PAYMENT** (\$ ) 975.00**Complete if Known**

|                      |          |
|----------------------|----------|
| Application Number   | UNKNOWN  |
| Filing Date          | UNKNOWN  |
| First Named Inventor | ELDERING |
| Examiner Name        | UNKNOWN  |
| Group Art Unit       | UNKNOWN  |
| Attorney Docket No.  | T741-10  |

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

|                        |                        |
|------------------------|------------------------|
| Deposit Account Number | 501535                 |
| Deposit Account Name   | Expanse Networks, Inc. |

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:**

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 710                    | 201 355                    | Utility filing fee     | 355.00   |
| 106 320                    | 206 160                    | Design filing fee      |          |
| 107 490                    | 207 245                    | Plant filing fee       |          |
| 108 710                    | 208 355                    | Reissue filing fee     |          |
| 114 150                    | 214 75                     | Provisional filing fee |          |

**SUBTOTAL (1)** (\$ ) 355.00**2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 80                 | -20** = 60   | 9.00           | 540.00   |
| 5                  | -3** = 2     | 40.00          | 80.00    |
| Multiple Dependent |              | 135.00         | 0.00     |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103 18                     | 203 9                      | Claims in excess of 20                                     |
| 102 80                     | 202 40                     | Independent claims in excess of 3                          |
| 104 270                    | 204 135                    | Multiple dependent claim, if not paid                      |
| 109 80                     | 209 40                     | ** Reissue independent claims over original patent         |
| 110 18                     | 210 9                      | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$ ) 620.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130                    | 205 65                     | Surcharge - late filing fee or oath  |          |
| 127 50                     | 227 25                     | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130                    | 139 130                    | Non-English specification  |          |
| 147 2,520                  | 147 2,520                  | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112 920*                   | 112 920*                   | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*                 | 113 1,840*                 | Requesting publication of SIR after Examiner action                        |          |
| 115 110                    | 215 55                     | Extension for reply within first month                                     |          |
| 116 390                    | 216 195                    | Extension for reply within second month                                    |          |
| 117 890                    | 217 445                    | Extension for reply within third month                                     |          |
| 118 1,390                  | 218 695                    | Extension for reply within fourth month                                    |          |
| 128 1,890                  | 228 945                    | Extension for reply within fifth month                                     |          |
| 119 310                    | 219 155                    | Notice of Appeal   |          |
| 120 310                    | 220 155                    | Filing a brief in support of an appeal                                     |          |
| 121 270                    | 221 135                    | Request for oral hearing   |          |
| 138 1,510                  | 138 1,510                  | Petition to institute a public use proceeding                              |          |
| 140 110                    | 240 55                     | Petition to revive - unavoidable   |          |
| 141 1,240                  | 241 620                    | Petition to revive - unintentional   |          |
| 142 1,240                  | 242 620                    | Utility issue fee (or reissue)   |          |
| 143 440                    | 243 220                    | Design issue fee   |          |
| 144 600                    | 244 300                    | Plant issue fee  |          |
| 122 130                    | 122 130                    | Petitions to the Commissioner  |          |
| 123 50                     | 123 50                     | Processing fee under 37 CFR 1.17(q)  |          |
| 126 180                    | 126 180                    | Submission of Information Disclosure Stmt                                  |          |
| 581 40                     | 581 40                     | Recording each patent assignment per property (times number of properties) |          |
| 146 710                    | 246 355                    | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 710                    | 249 355                    | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 710                    | 279 355                    | Request for Continued Examination (RCE)                                    |          |
| 169 900                    | 169 900                    | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ ) 0.00**SUBMITTED BY**Name (Print/Type) **Douglas J. Ryder**Registration No. (Attorney/Agent) **43,073****Complete (if applicable)**Telephone **(215) 348-0265**

Signature

Date **8/10/01****WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|   |                        |                                  |                           |
|---|------------------------|----------------------------------|---------------------------|
| APPLN NUMBER<br>UNKNOWN   | FILING DATE<br>UNKNOWN | FIRST NAMED INVENTOR<br>ELDERING | ATTY. DKT. NO.<br>T741-10 |
| TITLE<br>TARGETING ADS TO SUBSCRIBERS BASED ON<br>PRIVACY-PROTECTED SUBSCRIBER PROFILES |                        | ART UNIT<br>UNKNOWN              | EXAMINER<br>UNKNOWN       |

Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING (37 CFR 1.10)**

Express Mail Label Number : EF096771710US

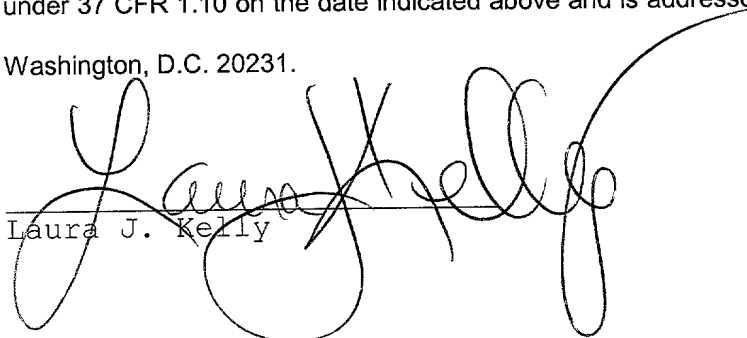
Date of Deposit :

10 August 2001

I hereby certify that the following *attached* paper or fee:

Patent Application; including Specification (43pp.), Claims (18pp.), Drawings (52pp.), and Abstract (1p.).

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Laura J. Kelly